



Sector
PATENT #3

ATTORNEY DOCKET NO.: 053136-5001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: John PURCELL

Application No.: 09/760,745

Filed: January 17, 2001

Group Art Unit: 2152

For: **A SYSTEM AND METHOD FOR DELIVERY OF INVESTOR DOCUMENTS AND
TABULATION AND PROCESSING OF CERTAIN INVESTOR INSTRUCTIONS**

Commissioner for Patents
BOX ISSUE FEE
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

To correct the informalities in the drawings as noted in the PTO-948 form accompanying this response, the applicant submits herewith 7 sheets of new drawings containing 7 figures.

The two month period of response set in the Notice to File Corrected Application Papers (PTO-37) expires on April 28, 2001 and this submission is on or before the expiration date.

If there are any fees due in connection with the filing of these drawings, the Commissioner is hereby authorized to charge any additional fees due to Deposit Account No. 50-0310. If a fee is required for an extension of time under 37 C.F.R. 1.136, not accounted for above, such an extension is requested and the fee should also be charged to Deposit Account No. 50-0310.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

Donald Z. Monin, Jr.

Donald Monin, Jr.

Reg. No. 47,256

Dated: April 5, 2001

Customer No. 009629
MORGAN, LEWIS & BOCKIUS LLP
1800 M Street, N.W.
Washington, D.C. 20036
(202)467-7000



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/760,745	01/17/2001	John Purcell	053136-5001

CONFIRMATION NO. 4823

FORMALITIES LETTER



OC00000005810669

009629
MORGAN, LEWIS & BOCKIUS
1800 M STREET NW
WASHINGTON, DC 200365869

Date Mailed: 02/28/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

H-T

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE